## RMATION DISCLOSURE STATEME TRANSMITTAL OF I (Under 37 CFR 1.97(b) or 1.97(c)) DC5060 PCT 1 CHAPMAN et. al JC17 Rec'd PC1/P10 In Re Application Of: Application No. Filing Date Customer No. Group Art Unit Confirmation No. Examiner 00137 Title: Branched Polymers From Organohydrogensilicon Compounds Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. X The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p).

## RMATION DISCLOSURE STATEME

|  | (Under 37 CF)  | DC506  | DC5060 PCT 1  |   |  |   |
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| In Re Application: CHAPM   |  |  | et. al  | JC17 Re   | c'd PCT/PTC  | 1 0 JUN 201   |
| Application No.  | Filing Date  | Examiner   |   | Customer No.  | Group Art Unit   | Confirmation No.                                      |
| Title: Branched Po   | olymers From Organ   | ohydrogensilicon Cor   | npounds   |   |  |   |
| The Director as describer Ch. Cre. Ch. Ch. Payment by WARNING  | the amount of or is hereby authorized below. arge the amount of edit any overpaymen arge any additional for credit card. Form Finformation on this | nplete if Applicant elects<br>is attached<br>ed to charge and cred<br>\$<br>t. | ed.<br>lit Deposit                                      | Account No. o   | 04-1520<br>ormation should   | d not be  |
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| Sharon Brady, Re<br>Phone: 989-496-8<br>IP Counsel   | 3120   | if paying by   | Dated:  | June 10   | 2005   |   |
| Dow Corning Corp<br>Mail Number C012<br>2200 W. Salzburg<br>P.O. Box 994<br>Midland, MI 48686<br>UNITED STATES | 232<br>Road<br>3-0994  |  |   |   |  |   |

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|                                   | Application Number     | 10/5/8682    |  |  |  |
| INFORMATION DISCLOSURE            | Filing Date            |              |  |  |  |
| STATEMENT BY APPLICANT            | First Named Inventor   | CHAPMAN      |  |  |  |
| OTATEMENT BY ALTEROANT            | Art Unit               |              |  |  |  |
| (Use as many sheets as necessary) | Examiner Name          |              |  |  |  |
| Sheet 2 of 2                      | Attorney Docket Number | DC5060 PCT 1 |  |  |  |

|                       |   |  | U.S. PATENT                    | DOCUMENTS  |  |
|-----------------------|---|--|--------------------------------|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup>                | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear  |
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| Examiner Cite | Foreign Patent Document  | Publication Date  | Name of Patentee or | Pages, Columns, Lines,      |   |                |  |  |  |
| Initials*     |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          | Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |  |  |  |
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| Substitute for form 1449A/PTO                    |                       | Application Number Complete if Known Application Number |                        |              |  |
|--|-----------------------|---|------------------------|--------------|--|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT |                       | Application Number                                      | 10/5/0682              |              |  |
|  |                       | SURE  | Filing Date            |              |  |
|  |                       |   | First Named Inventor   | CHAPMAN      |  |
| OTATEMEN   | I DI AII L            | IOAII   | Art Unit               |              |  |
| (Use as man                                      | y sheets as necessary | ()  | Examiner Name          |              |  |
| Sheet 1  | of                    | 2   | Attorney Docket Number | DC5060 PCT 1 |  |

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|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear   |
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| Initials*                               | No.¹                     | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY                              | Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |   |  |  |  |  |
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